HS SPPO Contacts Meeting
July 28, 2021
Agenda

- UC San Diego Updates & Reminders  
  *(Erika Wilson)*
- HS SPPO & NIH Updates & Reminders  
  + HS SPPO Newsletter Raffle  
  *(Rachel Cook)*
- Kuali IRB  
  *(Kip Kantelo, HRPP Director)*
- Questions

This meeting is being recorded

By continuing to be in the meeting, you are consenting to be recorded.
UC San Diego Updates & Reminders
- with Erika Wilson, Senior Director, HS SPPO
For proposals with foreign collaboration, make sure you mark Yes in both the Foreign Engagement section as well as the Export Control section. Lately, we have been seeing the Foreign Engagement section answered Yes, but Export Control section answered No. If yes in the former, then it should be Yes in the latter section as well.
Kuali Research PD: Compliance Questionnaire
Export Control & Foreign Collaboration Questions

Export Control
Are you working outside the United States, exporting items or working with a foreign entity? Click 'i' for additional information.

Yes

Is there involvement of a Foreign entity (sponsor, sub-recipient, collaborator)? Click 'i' for additional information.

Yes

Which country will you be working with? Important note: Please separate the country names by a comma. For more information click 'i'.

Jordan, Morocco and Lebanon

Is there collaboration with or research in Cuba, Iran, North Korea, Syria or Sudan? For more information click 'i'.

No

Will any equipment, materials, software or technology be exported by UCSD in the course of this project? For more information click 'i'.

No
Additionally, we have been seeing that the line item for Export Control is missing in the Compliance section when it is indicated that there is a foreign collaboration in the Compliance Questionnaire section.

Thus, if you have a foreign collaboration, make sure this is answered Yes in the Compliance Questionnaire as well as the Export Control section, plus add this line item as well.
Update on Animal Welfare Assurance #: A3033-01 vs D16-00020

- It is looking as though OLAW is phasing out the older Assurance # A3033-01 we have been using in our applications. HS SPPO has recently had NIH come back and request the new # D16-00020. At this point, it seems that we can use either number in your applications as well as we wanted to clarify a previous email sent out by HS SPPO recently. The A3033-01 # is not expiring and is currently under review by OLAW. We can provide the updated expiration date, if needed, once it is finalized and approved by OLAW.
Updated IDC Boilerplates on HS SPPO’s Website

• We are no longer have a split IDC rate, thus you no longer need to use the language referring to our split rate in each fiscal year. We have removed all previous rates, leaving only the current rate of 58%.

• The short justification (option 1) now only needs to state the following: UC San Diego's F&A rate agreement was signed on May 23, 2018 by the Department of Health and Human Services (DHHS). Our current rate for FY 2022 is 58.00%, until amended.

• The longer justification (option 2) has also been updated.

https://medschool.ucsd.edu/vchs/research-services/hssppo/resources/Pages/Templates-Boilerplates.aspx
RPPRs or PRAMs → Unobligated Balances When <25%

• Before submitting another RPPR (SNAP or non-SNAP), you must verify with OPFAS the correct balances that have been drawn down from NIH.

• After submission, any inquiries from NIH regarding large unobligated balances via email or a PRAM request need to go through HS SPPO. Your HS SPPO Analyst will work with Erika Wilson and Wella Garcia to verify the balances that have been drawn down versus what was reported to in the RPPR.
NIH & HS SPPO Updates & Reminders

- with Rachel Cook, Senior Grant Analyst, Supervisor, HS SPPO
NIH recipients of the Federal-wide are being required to transition from the DUN and Bradstreet (D&B) Data Universal Numbering System (DUNS) to a new Government-owned unique entity identifier (UEI).

UC San Diego has already registered and obtained the new UEI # UYTTZT6G9DT1.

NIH has indicated they anticipate to using the UEI (SAM) in January 2022. Changes have been made in grants.gov, but not yet in ASSIST. For now, continue to use the DUNS # in ASSIST applications: 804355790.

NOT-OD-21-040: Required Use of Two-Factor Authentication Using Login.Gov for eRA's External Modules in 2021

- With one login.gov account, users can sign into multiple government agency systems while taking advantage of login.gov’s two-factor authentication capabilities that ensure the security of their personal information.

- On September 15, 2021, all external users will be required to use login.gov when logging into eRA systems. All users of eRA Commons, Commons Mobile and ASSIST are encouraged to begin the switchover now, before the mandatory deadline.
  - It takes more than a day or more for the account to be affiliated with your eRA Commons account. Don’t wait too long to set this up!

- See step-by-step instructions, FAQs, video tutorials and more at the Access eRA Modules via login.gov webpage.

Is Your Login.Gov Account Affiliated w/ eRA Commons?

- Login into eRA Commons and click on the Admin Tab, then Accounts, and Account Management.

- This will open the Search Accounts screen. Search and select your name.
NOT-OD-21-109: Expanding Requirement for eRA Commons IDs to All Senior/Key Personnel

- While it has always been strongly encouraged that all other senior/key personnel to obtain eRA Commons IDs, it has not been required. Without a unique personnel credential, it is difficult to correctly identify conflicts of interest in peer review as well as gather unambiguous data on personnel involved in federally funded research.

- For due dates on or after January 25, 2022, NIH, AHRQ, and FDA will require all individuals listed on the R&R Senior/Key Person Profile (Expanded) Form to have an eRA Commons username (Commons ID).

- Take note for any foreign collaborators listed in the Senior/Key Personnel Tab. It could take some time for their institutions to create an eRA Commons ID for this person(s) as well as create an account, if they do not have one already.

This Notice informs the extramural community that NIH has updated its application forms and instructions to support the need for applicants and recipients to provide full transparency and disclosure of all research activities, both foreign and domestic.

The updated forms and instructions now will be required for use for applications and Research Performance Progress Reports (RPPR) submitted for due dates on or after January 25, 2022 (instead of May 25, 2021 as the previous Notice stated).

Resources:

- For more info on these changes, please refer to HS SPPO’s last HS Contacts Meeting: here.
- Updated Biosketch resources, including FAQs & sample format pages can be found here.
- Updated Other Support resources, including FAQs & sample format pages can be found here.

Updated FAQs Pages for Biosketches & Other Support

<table>
<thead>
<tr>
<th>Biosketches</th>
<th>Other Support</th>
<th>Foreign Influence &amp; FCOI</th>
</tr>
</thead>
<tbody>
<tr>
<td>I. General</td>
<td>A. General</td>
<td>I. Foreign Components</td>
</tr>
<tr>
<td>II. SciENcv</td>
<td>B. Disclosures</td>
<td>II. Financial Conflict of Interest (FCOI)</td>
</tr>
<tr>
<td>III. Citations</td>
<td>C. In-Kind Contributions</td>
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<tr>
<td>IV. Contributions to Science</td>
<td>D. Foreign Contracts</td>
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<td>V. Biosketch Compliance</td>
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</tbody>
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Q: Do outside consulting activities have to be reported in Other Support?

• Consulting that falls outside of an individual’s appointment, separate from institution’s agreement, must be disclosed as Other Support.

Q: Does NIH require disclosure of recently completed support in Other Support submissions?

• No, NIH does not require disclosure of recently completed support in Other Support submissions, only current and pending resources.
If HS SPPO cannot assist you with your questions regarding the upcoming changes to either Biosketches and/or Other Support, then effective immediately, you can send inquiries related to changes to the biographical sketch and other support templates directly to NIH at nihosbiosketch@nih.gov.

Reminder: NOT-OD-21-151: NIH Reminder Regarding Recipient and Applicant Grants Policy Related Inquiries

- For inquiries regarding general grants-policy matters are best directed first to HS SPPO if it is pre-award and OCGA if it is post-award. In rare instances where these contacts are not able to assist in resolving the inquiry or determine a need to seek guidance from the NIH on a complex or unusual policy circumstance, recipient/applicant’s AOR, not the PD/PI, may submit policy-related inquiries on the organization’s behalf to the NIH grants policy inbox or the designated IC contact named in the FOA and/or NOA.

- For inquiries related to a specific award(s), recipient contacts with designated authority (HS SPPO or OCGA) may submit their inquiries to the NIH grants management contact at the awarding IC.

- For inquiries pertaining to general grants policy matters, the recipient or applicant should consult with HS SPPO or OCGA as well as closely review the NIH Grants Policy Statement, the FOA (if applicable), and other resources available on the NIH Grants Policy & Compliance webpage and NIH Grants FAQs webpage. Only upon exhausting these resources should HS SPPO or OCGA reach out directly to the DGP for assistance using the NIH grants policy inbox.

HS SPPO Newsletter Raffle

- with Rachel Cook, Senior Grant Analyst, Supervisor, HS SPPO
HS SPPO Newsletter Raffle

Raffle: Random Name Picker
1. Vivie Truong
2. Jessica Sun
3. Ariel Tam
4. Brianne Decker
5. Colleen Reich
6. Caryl Finch
7. Kimberley Kruse

https://www.miniwebtool.com/random-name-picker/

The next HS SPPO Newsletter will come out August 15th!
TOP TIPS FOR KUALI IRB
(EVEN IF YOU DON’T INTERACT WITH THE IRB)

Kip Kantelo
Director, Office of IRB Administration (fka HRPP)
July 28, 2021
Roadmap

• Title says it all...
• ...but there is time for Q&A too
When should I use Kuali IRB?

• Note: KIRB is not the same as KR
• Matrix
  • https://esr.ucsd.edu/projects/kuali-irb/get-ready/kuali-irb-transition-schedule.html
• New studies
• Existing studies:
  • Expiring on or after Sept 1 AND that will go past July 2022
  • Instructions coming ~November about existing studies that have 3 year approval or don’t have expiration
• Legacy system remains system of record for existing protocols until they roll over
What should I prepare?

- Do I have access?
  - Faculty and Staff
  - Students and Non-UCSD personnel
- Am I set up with WalkMe?
- Who will:
  - Work only on the applications or other regulatory documents?
  - Be the PI, Co-Investigators and Key Personnel? Any COIs?
  - Review for collaborating institutions?
- Which department do we pick?
- What’s the funding situation?
  - Proposal or Award Number in KR?
- Documents
How do I get in?

• Bookmark it
  • https://ucsd.kuali.co/protocols/
• Or click the Protocols tile at the Kuali homescreen
What Will I See?

• It depends
  • New studies done in Kuali
  • Existing studies
    • If you’re the PI
    • If the PI has added you to Permissions
  • Maybe nothing right now
Key Sections

• Going down different paths
• Personnel & Permissions
• Funding Gadget
• Relying Sites
• Documents
• Ancillary Reviews
• Activity Log
Thank you!

• Stay In Touch
  • irb@health.ucsd.edu
  • ServiceNow ticketing

• Support
  • https://support.ucsd.edu/research
  • https://esr.ucsd.edu/projects/kuali-irb/get-ready/index.html

• New Mailing Lists
  • Kuali IRB: https://esr.ucsd.edu/contact/subscribe.html
  • Human Research News: https://tinyurl.com/tritonirb

• Questions? Answers?