HS SPPO Contacts Meeting

June 15, 2022
Who Are We?

Stella Gatdula, Evelyn Olaes, Roxanne Knight, Anastasia Hendry, ThanhDieu Rich, Rachel Cook, Erika Wilson, Nicole Ketchum, with Gene Hasegawa
Agenda

• UC San Diego & HS SPPO Updates & Reminders
  • HS SPPO & What We Review in the Final Review
  • HS SPPO Minimum Requirements for Review
  • HS SPPO Newsletter Raffle (2 Raffles!)
  • HS SPPO Website Updates
  • Human Fetal Tissue (HFT)
  • IRB Attestations for JIT/RPPRs/Supplement Applications
  • Most Fellowships moving from Graduate Division to OCGA
  • Post Doc Employee Salary Rates Updated
  • Sign-up for the HS Contact’s Listserv
  • …HS SPPO Retirements

• NIH Updates & Reminders
  • Data Sharing Guidance & Upcoming Updated Requirements
  • Extension of the Special Exception to the NIH/AHRQ Post-Submission Material Policy During the COVID-19 Pandemic: January 2023 Councils
  • Reminder: GSR Max Compensation Allowed & Max Effort
  • Recent Updates to Other Support & Biosketches
  • Clarifying Digital e-Signatures for Other Support & How to Flatten a Secure PDF
  • Updates to the RPPR & Forms H are Coming!
UC San Diego & HS SPPO Updates/Reminders
When you submit your PD Record & application for submission to HS SPPO before 8:00 AM on the day it is due, you will receive a final review.

We will only review the comments we made in the initial and/or previous review. We will not review anything else, unless you inform us when you forward everything for submission in the PD Record of what has been changed and should be included in the final review, such as the budget in years 1 and 3.
The R&R Budget Form Pages is the preferred way to send the budget to the institution submitting to NIH.

Please keep this document interactive and do not flatten it. The institution submitting to NIH will need upload our budget into their application or RPPR and it needs to be interactive.
HS SPPO Newsletter Raffle: 2 Raffles!!!

February Newsletter
1. Marianne Clark
2. Angie Robles
3. Lauren Wilkes
4. Shachi Maewal
5. Kimberly Kruse
6. Jaymee Sanford
7. Brianne Decker

May Newsletter
1. Victoria Banks
2. Lauren Wilkes
3. Brianne Decker

https://www.miniwebtool.com/random-name-picker/
HS SPPO Website Updates

We have updated or created the following pages:

- Analyst Review Assignments
- NIH Other Support
- NIH Biosketch
- Human Fetal Tissue (HFT)
- Resources: Templates & Boilerplates
Human Fetal Tissue (HFT)

- Stem Cell Activities and NIH Supported Human Fetal Tissue Research at UC San Diego was a webinar hosted by the RCI on May 18, 2022. The last portion of the webinar went step-by-step on the new process for both departments and PIs on what is needed to be done both at UC San Diego as well as what needs to be done in the PD Record and ASSIST application when submitting to NIH. The webinar video can be seen here.

- This step-by-step process for review, submission, and award acceptance is also on the HS SPPO Website. The process is broken down for the following audiences: what a Central SPO Officer needs to know; what the Department RA needs to; and what the PI needs to know. This page is located here.
The IRB Office no longer does congruency reviews for federal awards, such as NIH.

The onus has shifted to the PI on whether or not the IRB protocol # is accurate.

The PI must send an email to the HS SPPO Analyst (upon request) where they confirm the appropriate IRB Protocol # (s). Once HS SPPO has received the protocol # (s) and email, they can then verify the approval and dates in Kuali Protocols.

Email language: "I confirm this IRB approval is associated with this application/grant/award. This protocol represents the research being performed in this application/grant/award."
Fellowships that used to be submitted by Graduate Division are now being transitioned over to OCGA for submission and award acceptance.

Note, NIH F30 and F32 Fellowships that HS SPPO submits are staying with HS SPPO. They are not moving over to OCGA.

Also, note, HS SPPO is still the Institutional Business Official for NIH Loan Repayment Program (LRP) for Health Sciences.
Appointment Step for Postdoctoral Scholar Experience Level | 5/1/2022 Salary Scale Annual | 5/1/2022 Salary Scale Monthly
--- | --- | ---
Level 0 (0-11 months) | $55,632 | $4,636
Level 1 (12-23 months) | $57,852 | $4,821
Level 2 (24-35 months) | $59,784 | $4,982
Level 3 (36-47 months) | $61,992 | $5,166
Level 4 (48-59 months) | $64,296 | $5,358
Level 5 (60-71 months) | $66,600 | $5,550

https://postdoc.ucsd.edu/postdocs/appointment-guidelines.html
Sign-up for the HS Contact’s Listserv!

If you are not yet on the HS Contacts Listserv, then sign up today!

All you have to do is email Nicole Ketchum @ nketchum@health.ucsd.edu and request to be added to the HS Contacts Listserv 😊
…HS SPPO Retirements!!!

Thanhdieu Rich

Erika Wilson
NIH Updates & Reminders
Data Management & Sharing Guidance & Upcoming Requirements

NIH has created a website just for their upcoming policy on resource data. An overview of the policy can be found here.

- For applications due on or after January 25, 2023, NIH will require researchers to prospectively plan for how scientific data will be preserved and shared through submission of a Data Management and Sharing Plan.

Investigators will be required to:

- Submit a Data Management and Sharing plan outlining how scientific data and any accompanying metadata will be managed and shared, taking into account any potential restrictions or limitations.
- Comply with the Data Management and Sharing plan approved by the funding IC.
  - NIMH Data Sharing for Applicants and Awardees & NIAID Data Management and Sharing Guidelines

Data Management & Sharing Guidance & Upcoming Requirements

- The policy applies to all NIH-supported research that results in the generation of scientific data, regardless of funding mechanism. See Research Covered Under the Data Management & Sharing Policy for more details.

- The DMS Policy does not apply to research and other activities that do not generate scientific data, for example: training, infrastructure development, and non-research activities.

- During a research project’s funding period, compliance with the DMS plan will be determined by the NIH IC. After the end of the funding period, non-compliance with the DMS plan may be taken into account by NIH for future funding decisions for the recipient institution.

- FAQs page is here & information on Planning & Budgeting for DMS can be found here.

- Expect more information to come out over the next few months as we move closer to the go-live date for the 2023 DMS Policy.
For applications submitted this current submission cycle, NIH & AHRQ will accept a one-page update with preliminary data as post-submission materials for applications submitted under all activity codes, ONLY if the FOA used for submission allowed preliminary data in the application.

- One page of preliminary data will be accepted for single-component applications or for each component of a multi-component application.

- The deadline for submitting all post-submission materials, including preliminary data, will be 30 days before the study section meeting, unless specified otherwise in the FOA.

- All other materials listed in NOT-OD-19-083 as acceptable post-submission materials will continue to be accepted if submitted 30 days before the study section meeting. Instructions for submission are included in NOT-OD-19-083.

NIH & Max Compensation Allowable

The maximum amount that NIH will award to support the compensation package for a graduate student research assistant remains at the zero-level postdoctoral stipend, as described in the NIH GPS (Section 7.9). Compensation includes salary, CBRs, and tuition remission.

For FY 2022, this is $54,840/yr or $4,570/mo. Postdoctoral employees can be appointed up to 100%.

UC San Diego & Max Allowable Effort

UC graduate student employment policy states that a full-time graduate student may be employed a maximum of 50% time* (20 hours per week) during the academic year and up to 100% time (40 hours per week) during the summer months.

The summer is defined as the day after the Spring quarter ends and the day before the Fall quarter begins.

*GSRs should only be appointed at 49.99%, not 50.00%

GSEPP Chapter 1: Academic Eligibility: Basic Qualifications for Employment Eligibility
Reminder: Recent Updates to Other Support & Biosketches

- For due dates on or after January 25, 2022, NIH began to require applicants and current awardees to use the updated forms for both Other Support & Biosketches. This is a reminder to use them and to follow the updated guidelines and FAQs.

- HS SPPO participated in two RCI Townhalls on the recent updates to the NIH Other Support & Biosketch. There was a slight update or two from the first session to the last session.

<table>
<thead>
<tr>
<th>Video of Session Links</th>
<th>PPT of Session Links</th>
</tr>
</thead>
<tbody>
<tr>
<td>November 10, 2021 Video</td>
<td>November 10, 2021 PPT</td>
</tr>
<tr>
<td>January 12, 2022 Video</td>
<td>January 12, 2022 PPT</td>
</tr>
</tbody>
</table>

- Please note, NIH is working with other federal agencies, such as NSF, DOD, and DOE, on harmonization and consistency across agencies for both of these forms, so expect future updates to come.

Reminder: Recent Updates to Other Support & Biosketches

- Reminders for Other Support:
  - There is now only one Other Support Format Page for all types of submissions to NIH.
  - The format page has been re-organized to separate pending and funded projects from in-kind contributions. You do not list completed projects.

- Expectations for Reporting In-Kind Resources
  - In-kind contributions, e.g. office/laboratory space, equipment, supplies, employees, and students.
  - If the time commitment or dollar value of the in-kind contribution is not readily ascertainable, the recipient must provide reasonable estimates.

- FAQs for Other Support are located [here](#).
- FAQs for Biosketches are located [here](#).
Other Support Format Page & New Changes

*This specific form is mandatory to use as it provides information on ALL domestic & foreign active and pending funded & unfunded support for personnel on NIH funded projects as well as in-kind contributions.

Note for Subs or Multi-Project Awards: Indicate the project number, Name of PD/PI, and source of Support for the overall project. Provide all other information (e.g. total award amount, person months) for the subproject only.

https://grants.nih.gov/grants/forms/othersupport.htm
Non-Fellowship Biosketches Changes

Section A ‘Personnel Statement’ may now include details on ongoing and completed research projects from the past three years that they want to draw attention to, along with still highlighting up to four publications.

Section B ‘Positions, Scientific Appointments, and Honors’ renamed from ‘Positions and Honors.’
- List only current positions and scientific appointments.
- All “Positions, Scientific Appointments, and Honors” should be listed in reverse chronological order.

Section D ‘Research Support’ has been removed.

Updated Section B to capture ALL scientific positions, scientific appointments, and honors - both foreign and domestic as well as both paid and not-paid.

https://grants.nih.gov/grants/forms/biosketch.htm
Reminder: New Fellowship Biosketch Format Pages

Fellowship Biosketches Changes

Section A ‘Personnel Statement’ may now include details on ongoing and completed research projects from the past three years that they want to draw attention to, along with still highlighting up to four publications.

Section B ‘Positions, Scientific Appointments, and Honors’ renamed from ‘Positions and Honors.’
- List only current positions and scientific appointments.
- All “Positions, Scientific Appointments, and Honors” should be listed in reverse chronological order.

Section D ‘Scholastic Performance’ has been updated to remove ‘Research Support.’

https://grants.nih.gov/grants/forms/biosketch.htm
<table>
<thead>
<tr>
<th>Type of Activity</th>
<th>Biographical Sketch</th>
<th>Other Support</th>
<th>Annual Project Reports</th>
<th>Post-Award Information Terms &amp; Condition</th>
<th>Disclosure Not Required</th>
</tr>
</thead>
<tbody>
<tr>
<td>Professional preparation (e.g., educational degrees)</td>
<td>X</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Organizational Affiliations and Appointments</td>
<td>X</td>
<td></td>
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</tr>
<tr>
<td>Academic, professional, or institutional appointments, whether or not remuneration is received, and whether full-time, part-time, or voluntary</td>
<td>X</td>
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<tr>
<td>All projects currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or directly to the individual, and regardless of whether or not they have monetary value (e.g., even if the support received is in-kind such as office/laboratory space, equipment, supplies, or employees,)</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
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<tr>
<td>Recently completed support or support that has ended</td>
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<td>X</td>
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<tr>
<td>Current or pending participation in, or applications to, programs sponsored by foreign governments, instrumentalities, or entities, including foreign government-sponsored talent recruitment programs</td>
<td>X</td>
<td></td>
<td>(Appropriate placement may be contract-dependent)</td>
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<tr>
<td>In-kind contributions not intended for use on the project/ proposal being proposed</td>
<td>X</td>
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<tr>
<td>Visiting Scholars in Labs funded by an external entity</td>
<td>X</td>
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<tr>
<td>Students and postdoctoral researchers funded by an external entity</td>
<td>X</td>
<td></td>
<td>X</td>
<td>X</td>
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<tr>
<td>Consulting that falls outside of an individual’s appointment; separate from institution’s agreement</td>
<td>X</td>
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<td>X</td>
<td>X</td>
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<tr>
<td>Consulting that is considered part of an individual’s appointment/ agreement with their home institution (e.g., a PI at a foreign institution’s retention contracts within their home institution)</td>
<td></td>
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<td>X</td>
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<tr>
<td>Travel supported/paid by an external entity to perform research activities with an associated time commitment</td>
<td>X</td>
<td></td>
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<tr>
<td>Travel supported/paid by an external entity to attend a conference or workshop</td>
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<td>X</td>
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<tr>
<td>Type of Activity</td>
<td>Biographical Sketch</td>
<td>Other Support</td>
<td>Annual Project Reports</td>
<td>Post-Award Information Terms &amp; Condition</td>
<td>Disclosure Not Required</td>
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<tr>
<td>Honoraria or other payments given for the purpose of conferring distinction or to symbolize respect, esteem, or admiration unrelated to research oversight, supervision, or co-authorship</td>
<td></td>
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<td></td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>Teaching commitments</td>
<td></td>
<td></td>
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<td>X</td>
<td></td>
</tr>
<tr>
<td>Startup company based on organization-licensed Intellectual Property (IP)</td>
<td></td>
<td></td>
<td></td>
<td>X</td>
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<tr>
<td>Startup packages provided to the individual from the proposing/home institution</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
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<tr>
<td>Startup packages from organizations other than the proposing/home institution</td>
<td>X</td>
<td>X</td>
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<tr>
<td>Training awards, prizes, and gifts. Gifts are resources provided where there is no expectation of anything, (e.g., time, services, specific research activities, money, etc.) in return</td>
<td></td>
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<tr>
<td><strong>Mentoring as part of an appointment, or mentor/mentee arrangements that do not involve the individual’s research activities</strong></td>
<td></td>
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<td>X</td>
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<tr>
<td>Academic year salary, or salary provided to the individual by the home institution</td>
<td></td>
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<td>X</td>
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<tr>
<td>Core facilities and/or shared equipment that is broadly available</td>
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<td>X</td>
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<tr>
<td>F&amp;A Reimbursement provided to the proposing/home institution</td>
<td></td>
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<td>X</td>
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<tr>
<td>Certification by the individual that the information disclosed is accurate, current, and complete (e.g., signature of the researcher)</td>
<td>X</td>
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<tr>
<td>Supporting Documentation (e.g., contracts, grants, other agreements)</td>
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<td>X</td>
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<tr>
<td>Significant Financial Interests: Disclosure Not Required in Other Support. See NIH FCOI Policy <a href="https://example.com">NIH GPS 4.1.10</a>, Disclosures must be made in FCOI module.</td>
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<td>X</td>
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</tbody>
</table>
There are two electronic signature (e-signature) options you can use at UC San Diego: **DocuSign** and **Adobe Acrobat**. Both of these options can create NIH’s required audit trail. The onus falls on the department or school to maintain these records. The Central SPO will submit to NIH flattened versions of these Other Support PDFs that will not contain the certifications or properties.
DocuSign At UC San Diego

- Log in through SSO
- Once logged in, DocuSign allows you to drag and drop the document you need to have signed.
- You can be both the host and signer.
- You can also be the host and forward an email to the person that needs to sign.
- For each step in the process, you will receive an email telling you it has to be signed as well as that it is complete.
- Once you download the document to your desktop, it will show that it has been signed and all the signatures are valid in Adobe Acrobat.
- When you download the document you will also download the Certificate of Completion which you must save for audit purposes.
  - Please do not upload this to eRA Commons or ASSIST to be sent to NIH.
DocuSign at UC San Diego

Here is an example of the e-Signature and the Certificate of Completion.

<table>
<thead>
<tr>
<th>Event Type</th>
<th>Status</th>
<th>Timestamp</th>
</tr>
</thead>
<tbody>
<tr>
<td>In Person Signer Events</td>
<td></td>
<td></td>
</tr>
<tr>
<td>In Person Signing Host</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rachel Cook</td>
<td></td>
<td></td>
</tr>
<tr>
<td><a href="mailto:racook@ucsd.edu">racook@ucsd.edu</a></td>
<td></td>
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<tr>
<td>In Person Signer</td>
<td></td>
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<tr>
<td>Rachel A. Cook, M.A.</td>
<td></td>
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</tr>
<tr>
<td>Signature Adoption: Pre-selected Style</td>
<td></td>
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<tr>
<td>Signed by Ink sent to <a href="mailto:racook@ucsd.edu">racook@ucsd.edu</a></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Using IP Address: 132.239.142.130</td>
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</tbody>
</table>

Electronic Record and Signature Disclosure:
- Acepted: 4/14/2022 3:51:39 PM
- Viewed: 4/14/2022 3:51:39 PM
- Sent: 4/14/2022 3:50:46 PM
- Signed: 4/14/2022 3:52:10 PM
- ID: a4f76five-5e40-4d1f-d984-298d7f93ad3f

Signed and all signatures are valid.
Adobe Acrobat has the "Fill & Sign" tool to add signatures, but this option only allows you to sign and not to both sign and certify the document. Thus, no audit trail is created where you can verify who actually signed the document and when.

When using Adobe Acrobat, make sure to select the "Certificates" tool.

You will have two options you can select:
- Digitally Sign option; or
- Certify (Visible Signature) option.

Both of the above options will work, but the latter of these two is the preferred choice.
Here is an example of the e-Signature (using the Certify (Visible Signature) option) and the Signature Properties showing who and when this was digitally signed.
How to Flatten a Secure PDF: 2 Options

Print to PDF vs. Drag & Drop Options

• In most cases, *Print to PDF* (instead of print to a specific printer) will work. However, some secure PDFs will not successfully *Print to PDF*. If this is the case, then you will have to *Drag & Drop* into an open Browser window. This will open the PDF up in the browser, allowing you to *Print to PDF*. This will remove all security features and allow you to combine PDFs as well as allow you to upload the unsecured/flattened PDFs into eRA Commons or your ASSIST application. Another variation on the *Drag & Drop* is to right-click on the file and request to open it with your browser, then *Print to PDF*. 
Updates to the RPPR are Coming!

The RPPR Module in eRA Commons is getting a facelift!

These upgrades to the module & the final RPPR Guidelines are expected to be released on June 23, 2022 (See [NOT-OD-22-130](#)).

**Action Required for Budget Forms**

- Recipients are alerted that the non-SNAP budget forms will be updated to a new format. As a result of this update, users with in-progress RPPR forms will be required to re-enter budget form data if the in-progress RPPR is not submitted by June 22, a day before the release.

- RPPRs submitted on or before June 22 will reflect the FORMS-F budget forms. Due dates for submission of the RPPR remain unchanged. For more information, go [here](#).
Key Updates to the RPPR

- The upgrade includes adding the new unique entity identifier (UEI) fields where applicable and required FORMS-G changes, including updated budget forms.

- Modified instruction language to align with the updated Other Support and Biosketch format pages and associated instructions. See NOT-OD-21-073 and NOT-OD-21-110 and Other Support instructions.

- For NIH, modified Question G.4. Human Subjects to incorporate Human Subjects System and Clinical Trials reporting language in line with NOT-OD-20-125.

- Language for Question B.4 modified to incorporate electronic submission requirement for the trainee diversity report in line with NOT-OD-20-178.

- Added instructional language for NRSA childcare costs reporting and requests in the RPPR, as per NOT-OD-21-074 and NOT-OD-21-177. AHRQ NRSA recipients should refer to NOT-HS-22-013 (Individual Fellows) and NOT-HS-22-014 (Institutional Research Training Awards).
Forms H are Coming!

What?
Forms H is coming? Didn’t we just start using Forms G?

• Yes, you heard right. Forms H is coming and will be required for all due dates on or after January 25, 2023 (that is about 6-7 months from now…)

• Main Change: updates to accommodate submission of data sharing plans as required by the new NIH Policy for Data Management and Sharing (see NOT-OD-21-013).