Export Control

3 Steps to Export Compliance at UC San Diego

1. Ask
   - Is an Export taking place?
     - How to recognize the different types of exports:
       - Physical shipment or hand carry outside the U.S. (includes Canada and Mexico)
       - Deemed (Access granted or “know how” transferred to a foreign national within the U.S.)
       - Information transfer abroad (Conversation, Email, Phone, Cloud)

Note: recognize when an export is taking place, but know that not all exports require a license. The Export Control Office will determine what is needed.

2. Screen
   - Run Restricted Party Screening (RPS) when applicable for all international visitors, vendors, travel reimbursements, agreements, collaborators, etc.

3. Escalate
   - All Red Flags or RPS hits/alerts need to be escalated to Export Control for review by emailing: EXPORT@UCSD.EDU

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http://export.ucsd.edu

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DoD other MILITARY-related funding or collaboration—Army, Navy, Air Force, Marines, DARPA, DTRA, Northup Grumman, Leidos, etc.

SPACE-related—NASA, ESA or other Space Agency funding: Satellites, Rockets, Landing vehicles, etc.

ENCRYPTION, ADVANCED COMPUTING and INFORMATION TECHNOLOGY—source code, Product Design Kits (PDKs), integrated circuits, etc.

NUCLEAR — Weapons related as well as Civilian or Energy research

CHEMICAL OR BIOLOGICAL WEAPONS—“Select agents” list or Dual Use Research of Concern: e.g. certain e. Coli or Botulinum toxins (Botox)

OTHERS include UAVs (Drones) or AUVs, Robotics, Rocket or Missile technology, Oil & Gas exploration, Acoustic technology or research, infrared cameras or focal plane arrays, certain Sensors, etc.

PAYMENTS such as travel reimbursements or for services or clinical trials to foreign individuals or entities

SERVICES such as consulting for or training foreign nationals, other than activities covered as “educational information” or “fundamental research”

RESTRICTED PARTIES—use the Restricted Party Screening (RPS) tool on VisualCompliance.com to ensure no foreign entities are listed on a government restricted list

SANCTIONED COUNTRIES — Cuba, Iran, North Korea, Sudan or Syria

Any Red Flags should be escalated to the Export Control Office (export@ucsd.edu) for review and license determination

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